

SECURITIES INDUSTRY EMERGENCY CLOSURES

Broker-dealer and investment adviser firms may find it necessary to close or relocate offices as a result of Hurricane Gustav. In the event you find this necessary, please contact any of the following personnel:

Rhonda Reeves, Deputy Commissioner of Securities
(225) 925-4514
rreeves@ofi.louisiana.gov

Len Riviere, Deputy Chief Examiner
(225) 925-6550
lriviere@ofi.louisiana.gov

Wanda Templeton, Securities Analyst
(225) 925-4521
wtempleton@ofi.louisiana.gov

To the extent possible, we ask that you provide us with current contact information so that we are able to reach you if needed. We will also provide this information to any of your customers that contact us.